



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/782,033	02/14/2001	Michael V. Conant	DCRT001/01US

CONFIRMATION NO. 8233

## FORMALITIES LETTER



\*OC00000005975494\*

022903  
COOLEY GODWARD LLP  
ATTN: PATENT GROUP  
11951 FREEDOM DRIVE, SUITE 1700  
ONE FREEDOM SQUARE- RESTON TOWN CENTER  
RESTON, VA 20190-5061

Date Mailed: 04/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/19/2001 MABDI1 00000018 501283 09782033 FILED UNDER 37 CFR 1.53(b)  
01 FC:105 130.00 CH

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

*A copy of this notice **MUST** be returned with the reply.*

*Man*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



LH

Sector  
#3

Attorney Docket No. DCRT001/01US

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of Conant et al.

Serial No.: 09/782,033

Examiner: Unassigned

Filed: February 14, 2001

Art Unit: 2176

For: **METHOD AND APPARATUS FOR ELECTRONIC NEGOTIATION OF  
DOCUMENT CONTENT**

Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

In response to the Notice to File Missing Parts of Application under 37 C.F.R. § 1.53(e)  
mailed April 17, 2001, enclosed are:

- ☒ Copy of the Notice to File Missing Parts of Application
- ☒ executed Declaration (Resubmitted)
- ☐ Power to Prosecute
- ☒ Copy of Preliminary Amendment filed February 14, 2001
- ☒ Stamped Postcard Dated February 14, 2001
- ☒ 18 Pages of Corrected Drawings (Figs. 1-18)

As indicated by the copy of the enclosed postcard, the original executed Declaration for this application was filed with the application on February 14, 2001. It appears that the Patent Office inadvertently forwarded the executed Declaration to the Assignment Branch because we received the executed Declaration from the Assignment Branch with the Notice of Recordation. Because the executed Declaration was submitted with the original filing on February 14, 2001, as evidenced by the enclosed copy of the stamped postcard, it is submitted that the surcharge of \$130 is not due for this application.

As is also indicated on the enclosed copy of the stamped postcard, the attached Preliminary Amendment was also filed along with the above-captioned patent application on February 14, 2001. This Amendment requested a supplementation of the

application with an Abstract of the Disclosure. Entry of this amendment is respectfully requested.

and the payment of the following fee(s):

- ☐ Filing fee of \$
- ☐ Surcharge fee for Late Filing of Declaration of \$
- ☐ Other fees:

Total Fee due: \$\_\_.

- ☐ Check No. \_\_ in the amount of \$\_\_ for the total fee is attached.
- ☐ Please charge \$\_\_ to Deposit Account No. 50-1283 for the total fee. This paper is being submitted in duplicate.

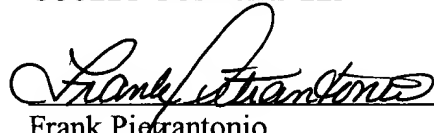
The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1283.

Dated: June 14, 2001

Cooley Godward LLP  
ATTN: Patent Group  
One Freedom Square  
Reston Town Center  
11951 Freedom Drive  
Reston, VA 20190-5601  
Tel: (703) 456-8000  
Fax: (703) 456-8100

Respectfully submitted,  
**COOLEY GODWARD LLP**

By:

  
Frank Pietrantonio  
Reg. No. 32,289

#3

**PLEASE STAMP AND RETURN TO SHOW RECEIPT OF:**

A New Patent Application of: Michael V. CONANT et al.

For: A METHOD AND APPARATUS FOR ELECTRONIC NEGOTIATION OF  
DOCUMENT CONTENT

**ATTN: BOX PATENT APPLICATION**

1. Thirty-one (31) pages of Specification including (10) claims ((6) independent claims);
2. Eighteen (18) sheets of informal drawings;
3. Executed Declaration;
4. Application Data Sheet;
5. Power of Attorney by Assignee and Statement Under 37 C.F.R. §3.73(b);
6. Assignment Recordation Cover Sheet (in duplicate);
7. Executed Assignment;
8. Application Transmittal (in duplicate);
9. Preliminary Amendment; and
10. Two (2) Return Receipt Postcards.

11017 U.S. PTO  
09/782033  
02/14/01

Dated: February 14, 2001  
Attorney Docket No.: DCRT001/01US

Client/Matter: N3761-2002  
Atty/Secy: FP:jls